

01-03-07 10/773332

PATENT Customer No. 22,852 Attorney Docket No. 09095.0009-01000

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent No.: 7,129,247

Inventors: Gary T. WANG et al.

Issue Date.: October 31, 2006

ARYL PHENYLHETEROCYCLYL For:

> SULFIDE DERIVATIVES AND THEIR USE AS CELL ADHESION-INHIBITING ANTI-INFLAMMATORY

AND IMMUNE-SUPPRESSIVE

**AGENTS** 

**CERTIFICATE UNDER 37 CFR § 1.10 OF MAILING BY "EXPRESS MAIL"** 

I hereby certify that this correspondence is being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on December 29, 2006 indicated above and is addressed to the Commissioner for Patents,

P.O. Box 1450, Alexandria, VA 22313-1450. Express Mail Label EV 845470536 US

Mbya Kinnealey

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

### REQUEST FOR CERTIFICATE OF CORRECTION

Pursuant to 35 U.S.C. § 254 and 37 C.F.R. § 1.322, this is a request for a Certificate of Correction in the above-identified patent. Mistakes have been identified in the appended Form at col. 78, lines 17-25, 42, and 47; col. 79, lines 6, 28 and 31; col. 80, lines 3-13; and col. 82, line 14. These errors occurred through the fault of the Patent Office, as clearly disclosed by the records of the application which matured into this patent.

In addition, pursuant to 35 U.S.C. § 255 and 37 C.F.R. § 1.323, patentees request the Certificate of Correction for the mistakes identified in the appended Form at

Patent No. 7,129,247 B2 Attorney Docket No. 09095.0009-01000

col. 9, line 76 and col. 81, line 9. These errors are of a clerical or typographical nature, or of minor character, and resulted from an error made in good faith by patentee(s).

In addition, patentees request correction of the listed Assignee Abbott

Laboratories to read ICOS Corporation. The present application is a divisional of U.S.

Patent No. 6,787,542. Abbott Laboratories assigned the parent '542 patent to ICOS

Corporation on October 10, 2002. Attached to this Request is a copy of this Assigned

Recordation at Reel 013404, Frame 0390. Patentees respectfully submit that the

incorrect Assignee was listed on the Issue Fee Transmittal inadvertently and without

deceptive intent. Authorization is hereby given to charge any fees due in connection

with the filing of this request to Deposit Account No. 06-0916.

Two (2) copies of PTO Form 1050 are appended. The complete Certificate of Correction is two (2) pages. Issuance of the Certificate of Correction containing the correction is earnestly requested.

Please charge any required fees not included herewith to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: December 29, 2006

Gretchen S. Peterson, Ph.D.

Reg. No. 57,404

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.

7,129,247 B2

Page 1 of 2

**APPLICATION NO.:** 

10/773,332

**ISSUE DATE:** 

October 31, 2006

INVENTOR(S):

Gary T. Wang et al.

It is hereby certified that an error or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

(73) Assignee "Abbott Laboratories, Abbott Park, IL (US)" should read "ICOS Corporation, Bothell, WA (US)--.

In claim 1, column 78, lines 17-25,

" should read -- 
$$R^3$$
 " should read --  $R^5$   $R^4$  --

In claim 1, column 78, line 42, "formula II:" should read --formula II;--.

In claim 1, column 78, line 47, "R<sup>9</sup>, are" should read --R<sup>9</sup> are--.

In claim 1, column 79, line 6, "heterocycyl" should read --heterocyclyl--.

In claim 1, column 79, line 28, "heterocycyl" should read --heterocyclyl--.

In claim 1, column 79, line 31, "heterocycyl" should read --heterocyclyl--.

In claim 1, column 79, line 36, "a cyclohexane ring, a cyclohexane ring," should read --a cyclohexane ring, a cyclohexene ring,--.

MAILING ADDRESS OF SENDER

Patent No. 7,129,247 B2

Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 901 New York Avenue, N.W. Washington, D.C. 20001-4413 No. of additional copies @ .30¢ per page

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Page 2 of 2

APPLICATION NO.:

10/773,332

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October 31, 2006

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It is hereby certified that an error or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In claim 2, column 80, lines 3-13,

$$(R^{12})_p = R^{1}$$

$$R^{5}$$

$$R^{4}$$

$$R^{4}$$

$$R^{4}$$

$$R^{10}$$

$$R^{10}$$

$$R^{11}$$

$$R^{10}$$

$$R^{11}$$

$$R^{10}$$

$$R^{11}$$

$$R^{10}$$

$$R^{11}$$

$$R^{10}$$

$$R^{11}$$

$$R^{10}$$

$$R^{11}$$

Ш

$$(R^{12})_{p} = R^{1}$$

$$R^{1}$$

$$R^{2}$$

$$R^{10}R^{11}$$

$$R^{2}$$

$$R^{2}$$

$$R^{3}$$

$$R^{10}R^{11}$$

Ш

In claim 8, column 81, line 9, "said" should read --a--.

In claim 14, column 82, line 14, "-O-or" should read -- -O- or--.

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In claim 1, column 78, lines 17-25,

" should read -- 
$$R^3$$
 " should read --  $R^5$   $R^4$  --  $R^3$  "  $R^4$   $R^3$  "  $R^4$   $R^3$  "  $R^4$   $R^4$ 

In claim 1, column 78, line 42, "formula II:" should read --formula II;--.

In claim 1, column 78, line 47, "R9, are" should read --R9 are--.

In claim 1, column 79, line 6, "heterocycyl" should read --heterocyclyl--.

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Page 2 of 2

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October 31, 2006

INVENTOR(S):

Gary T. Wang et al.

It is hereby certified that an error or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In claim 2, column 80, lines 3-13,

"
$$(R^{12})_p = R^1$$
R

$$R^2$$
NR

$$R^{10}R^{11}$$
" should read

Ш

$$(R^{12})_{p} = \begin{pmatrix} R^{1} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & &$$

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In claim 8, column 81, line 9, "said" should read --a--.

In claim 14, column 82, line 14, "-O-or" should read -- -O- or--.

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FEBRUARY 04, 2003

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SHELDON & MAK KRISTIN C. HIIBNER 225 SOUTH LAKE AVENUE 9TH FLOOR PASADENA, CA 91101 Under Secretary of Commerce For Intellectual Property and Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov



\*102259161A\*

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/10/2002

REEL/FRAME: 013404/0390

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ABBOTT LABORATORIES

DOC DATE: 10/01/2002

ASSIGNEE:

ICOS CORPORATION 22021 20TH AVENUE, S.E. BOTHELL, WASHINGTON 98021

SERIAL NUMBER: 09888840

PATENT NUMBER:

FILING DATE: 06/25/2001

ISSUE DATE:

STEVEN POST, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

FORM PTO-1595 (Modified) V(Rev. 03-01) OMB No. 0651-0027 (exp.\$/31/2002) P08A/REV03 10-24-2002



ket No.: 13780-2

**SHEET** 

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Patent and Trademark Office

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Tab settings $\rightarrow \rightarrow \rightarrow $ 10225916		
	Please record the attached original documents or copy thereof.	
1. Name of conveying party(ies): Abbott Laboratories 100 Abbott Park Road Abbott Park, Illinois 60064-3500	2. Name and address of receiving party(ies):  Name: ICOS Corporation	
Abbott Park, Illinois 60064-3500	Address: 22021 20th Avenue, S.E.	
Additional names(s) of conveying party(ies)	PUBLII	
3. Nature of conveyance:	ECTO PEC	
	9: 56	
☐ Security Agreement ☐ Change of Name	City: Bothell State/Prov.: WA	
☐ Other	Country: <u>U.S.A.</u> ZIP: <u>98021</u>	
Execution Date: October 1, 2002; September 26, 2002	Additional name(s) & address(es)	
<ol> <li>Application number(s) or patent numbers(s):</li> <li>If this document is being filed together with a new application,</li> </ol>	the execution date of the application is:	
Patent Application No. Filing date	B. Patent No.(s)	
09/888,840 June 25, 2001		
23/2002 GTDH11 00000246 192090 09888840	☐ Yes ☑ No	
FC:8021 40.00 CH Additional numbers	Li Yes 🔼 No	
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: 1	
Name: Kristin C., Hiibner, Ph.D.	7. Total fee (37 CFR 3.41):\$ 40.00	
Registration No. 50,139	☐ Enclosed - Any excess or insufficiency should be	
Address: Sheldon & Mak	credited or debited to deposit account	
225 South Lake Avenue, 9th Floor	🗵 Authorized to be charged to deposit account	
	8. Deposit account number: 10 2007	
City: Pasadena State/Prov.: CA	19-2090	

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9. Statement and signature.

Country: U.S.A.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy

ZIP: 91101

of the original document.

Kristin C. Hiibner, Ph.D.

Name of Person Signing

Total number of pages including cover sheet, attachments, and

Signature

(Attach duplicate copy of this page if paying by deposit account)

Date

### TRANSFER OF RIGHTS

WHEREAS, Abbott Laboratories, an Illinois corporation, and the successors, legal representatives and assigns of Abbott Laboratories (the "Transferor"), with offices at 100 Abbott Park Road, Abbott Park, Il 60064-3500 (US), is now the owner of the entire right, title, and interest, including all priority rights, and the right to claim priority rights and the privileges and benefits thereof, including those under the International Convention, and all other Conventions, and the right to file applications for patents in each and every country of the world in a series of Patent Applications titled "Aryl Phenylheterocyclyl Sulfide Derivatives and Their Use as Cell Adhesion Inhibiting Anti-Inflammatory and Immune-Suppressive Agents", including United States Provisional Patent Application No. 60/214,983, filed on June 29, 2000; United States Patent Application No. 09/606,717, filed on June 29, 2000; United States Patent Application No. 09/888,840, filed on June 25, 2001; and PCT Application No. PCT/US01/20128, filed on June 22, 2001, (the "Rights") by virtue of assignment from the inventors:

Gary T. Wang, 8602 Osceola Avenue, Niles, IL 60714 (US),

Sheldon Wang, 14066 Honey Tree Drive, Carmel, Indiana 46032 (US), and

Robert Gentles, 909 Cali Court, Libertyville, Illinois 60048 (US)

as recorded with the United States Patent and Trademark Office at reel/frame 011430/0684 on January 8, 2001; and reel/frame 012786/0053 on April 11, 2002; and

WHEREAS, ICOS Corporation, a Delaware corporation, and the successors, legal representatives or assigns (the "Transferee"), with offices at 22021 20<sup>th</sup> Avenue, S.E., Bothell, WA 98021 (US) wants to acquire and hold these Rights with Abbott Laboratories in equal undivided shares;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, the Transferor has transferred to the Transferee an equal undivided portion of the Rights, including the rights to all patents and patent applications, and to all divisions, substitutions, continuations and continuations-in-part thereof, and all United States Letters Patents which may be granted thereon and all reissues and extensions thereof, and all priority rights under the International Convention for the Protection of Industrial Property for every member country, and all applications for patents (including related rights such as utility-model registrations, inventor's certificates, and the like) heretofore or hereafter filed for said improvements in any foreign countries, and all patents (including all extensions, renewals and reissues thereof) granted for said improvements in any foreign countries; and hereby authorizes and requests the United States Commissioner of Patents and Trademarks, and any officials of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue all

patents for said improvements to Transferor in accordance with the terms of this assignment; and to all reissues thereof to the full end of the term for which the Patents and Patent Applications are granted; and

The Transferor covenants that it has the full right to convey the entire interest herein assigned, and that it has not executed, and will not execute, any agreement in conflict with this Transfer of Rights;

IN TESTIMONY WHEREOF, I, Steven F. Weinstock, whose title is supplied below, am empowered to act on behalf of the Transferor, Abbott Laboratories.

	TRANSFEROR
	ABBOTT LABORATORIES
Date: 16/1/07	By:
	Steven F. Weinstock
	Assistant Secretary, Vice President, Chief
	Patent and Trademark Counsel
	Abbott Laboratories 100 Abbott Park Road
	Abbott Park, IL 60064-6050 (US)
STATE OF IL )	
COUNTY OF Late )	SS:
On $\frac{10}{1}$ , 200	2, before the undersigned, a Notary Public for
the State and County aforesaid, personally appear	
proved to me on the basis of satisfactory evider	
to the above assignment, and acknowledged tha	it he executed the same.
	MaRoule
	(Notary-Public)
NO MY	OFFICIAL SEAL MICHELE BONKE STARY PUBLIC. STATE OF ILLINOIS COMMISSION EXPIRES 8-17-2003

,	•
IN TESTIMONY V is supplied below, am empowered	WHEREOF, I, <u>Gary L. Wilcox</u> , whose title to act on behalf of the Transferee, ICOS Corporation.
	TRANSFEREE ICOS CORPORATION
Date: <u>9/21/01</u>	Printed Name: Gary L. Wilcox, Ph.D.  Title: EVP of Operations  ICOS Corporation  22021 20th Avenue, S.E.  Bothell, WA 98021 (US)
STATE OF WASHINGTON ) ss. COUNTY OF SNOHOMISH )	
the State and County aforesaid, per to me or proved to me on the basis	6, 2002, before the undersigned, a Notary Public for resonally appeared Gary L. Wilcox, known of satisfactory evidence to be the person whose name is t, and acknowledged that he executed the same.
OF WASHIN	Mana Cleric (Notary Public) Diana Cline

			·
CERTIFICATE OF I	MAILING BY "EXPRESS al.	MAIL" (37 CFR 1.10)	Docket No. 13780-2
Serial No.	Filing Date	Examiner	Group Art Unit
09/888,840	June 25, 2001	K. Habte	1624
Invention: Aryl Phenylh	eterocyclyl Sulfide Derivatives a	nd Their Use as Cell Adhesion-	Inhibiting
Anti-Inflammatory and Im	amune-Suppressive Agents	·	
OIPE 1808 DEC 2 9 2006			
	Recordation Form Cover She the United States Postal Servivelope addressed to: The Com	(Identify type of correspondence) ce "Express Mail Post Office t	·
20231-0001 on	October 10, 2002		
· — —	(Date)		
		Kira Lutch	enkov
•		(Typed or Printed Name of Person.	Mailing Correspondence)
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